

EXPRESS MAIL NO. EV449558855US

PTO/SB/01A (08-03).

Approved for use through 10/31/2002. OMB 0651-0032.

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)****Title of Invention** **INDENE DERIVATIVES AS PHARMACEUTICAL AGENTS**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/825,084, filed on April 15, 2004,
☐ as amended on _____ (if applicable);

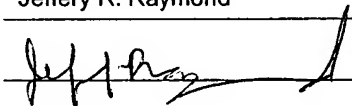
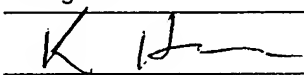
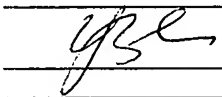
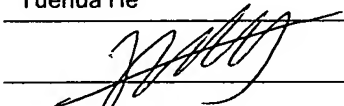
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one:	<u>Jeffery R. Raymond</u>	Citizen of:	<u>Canada</u>
Signature:		Date:	<u>July 13, 2004</u>
Inventor two:	<u>Kang Han</u>	Citizen of:	<u>Canada</u>
Signature:		Date:	<u>July 13, 2004</u>
Inventor three:	<u>Yuanlin Zhou</u>	Citizen of:	<u>Canada</u>
Signature:		Date:	<u>July 12, 2004</u>
Inventor four:	<u>Yuehua He</u>	Citizen of:	<u>Canada</u>
Signature:		Date:	<u>July 12, 2004</u>


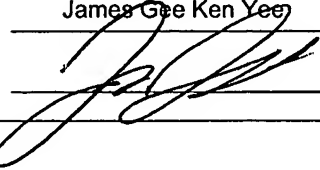
☒ Additional inventors or a legal representative are being named on one additional form attached hereto.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: P.O. Box 1450, Alexandria, VA 22313-1450.
Docket No. 480117.412 476549_1.DOC

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)****ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Page 2 of 2

FULL NAME OF INVENTOR(S)

Inventor five: Bradley Noren Citizen of: CanadaSignature:  Date: July 12, 2004Inventor six: James Gee Ken Yee Citizen of: CanadaSignature:  Date: July 12, 2004

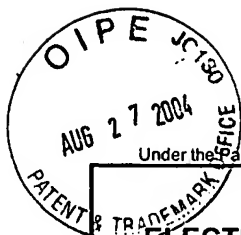
Inventor seven: _____ Citizen of: _____

Signature: _____ Date: _____

Inventor eight: _____ Citizen of: _____

Signature: _____ Date: _____

☐ Additional inventors or a legal representative are being named on _____ additional form(s) attached hereto.



EXPRESS MAIL NO. EV449558855US

PTO/SB/81 (06-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ELECTION AND POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	10/825,084
	Filing Date	April 15, 2004
	First Named Inventor	Jeffery R. Raymond
	Title	INDENE DERIVATIVES AS PHARMACEUTICAL AGENTS
	Art Unit	
	Examiner Name	
	Attorney Docket Number	480117.412

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC, Customer Number: **00500**

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

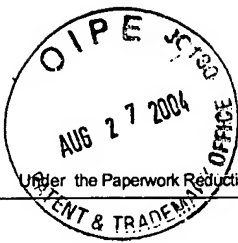
☒ As assignee of record of the entire interest I/we hereby elect, under 37 CFR 3.71, to prosecute the application to the exclusion of the inventor(s).**SIGNATURE of Applicant or Assignee of Record**

Name	ANDRE BEAULIEU
Signature	<i>AB</i>
Date	July 13, 2004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Jeffery R. Raymond et al.Application No./Patent No.: 10/825,084 Filed/Issue Date: April 15, 2004Entitled: INDENE DERIVATIVES AS PHARMACEUTICAL AGENTSInflazyme Pharmaceuticals Ltd.

(Name of Assignee)

a

corporation(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the
current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title noted in B above are
attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be
submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records
of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

July 13, 2004
Date

ANDRE BEAULIEU
Typed or printed name

604-279-8511
Telephone Number

[Signature]
Signature

General Counsel / Corporate Secretary
Title

COPY

ASSIGNMENT

WHEREAS, we, **Jeffery R. Raymond**, having a mailing address of #19 – 2658 Morningstar Crescent, Vancouver, British Columbia, Canada V5S 4P4; **Kang Han**, having a mailing address of 841 West 67th Avenue, Vancouver, British Columbia, Canada V6P 2S4; **Yuanlin Zhou**, having a mailing address of 11253 Daniels Road, Richmond, British Columbia, Canada V6X 1M5; **Yuehua He**, having a mailing address of 16466 108A Avenue, Surrey, British Columbia, Canada V4N 5B9; **Bradley Noren**, having a mailing address of 106 – 4738 53rd Street, Delta, British Columbia, Canada V4K 2Z1; and **James Gee Ken Yee**, having a mailing address of 5781 Vine Street, Apartment 10, Vancouver, British Columbia, Canada V6M 4A2 (hereinafter referred to as ASSIGNORS), are the joint inventors of an invention entitled “**INDENE DERIVATIVES AS PHARMACEUTICAL AGENTS**,” as described and claimed in the specification for which an application for United States letters patent was filed on **April 15, 2004** and assigned Application No. **10/825,084**;

WHEREAS, **Inflazyme Pharmaceuticals Ltd.** (hereinafter referred to as ASSIGNEE), a corporation of Canada having a place of business at 5600 Parkwood Way, Suite 425, Richmond, British Columbia, Canada V6V 2M2, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United

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States and foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

COPY

July 13, 2004
Date

Jeffery R. Raymond
Jeffery R. Raymond

Province of B.C.)
Country of Canada)

ss.

I certify that I know or have satisfactory evidence that Jeffery R. Raymond is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated July 13, 2004
Signature of Notary Public Andre Beaulieu
Printed Name ANDRE BEAULIEU

My appointment does not expire.

COPY

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Date July 12, 2004

K. Han
Kang Han

Province of B.C.)

Country of Canada)

ss.

I certify that I know or have satisfactory evidence that Kang Han is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated July 12, 2004

Signature of
Notary Public [Signature]

Printed Name ANDRE BEAULIEU

My appointment does not expire.

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July 12, 2004
Date
Yuanlin Zhou
Province of B.C.)
Country of Canada) ss.

I certify that I know or have satisfactory evidence that Yuanlin Zhou is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated July 12, 2004
Signature of Notary Public ASL
Printed Name ANDRÉ BEAULIEU

My appointment does not expire.

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Date July 12, 2004

[Signature]
Yuchua He

Province of B.C.)

Country of Canada)

ss.

I certify that I know or have satisfactory evidence that Yuchua He is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated July 12, 2004

Signature of
Notary Public [Signature]

Printed Name ANDRE BEAULIEU

My appointment does not expire.

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COPY

July 12, 2004
Date

Bradley Noren
Bradley Noren

Province of BC)
)
Country of Canada)

ss.

I certify that I know or have satisfactory evidence that Bradley Noren is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated July 12, 2004
Signature of
Notary Public Andre Beaulieu
Printed Name Andre Beaulieu

My appointment does not expire.

COPY

COPY

Date July 12, 2004
Province of BC)
Country of Canada)
James Gee Ken Yee
ss.

I certify that I know or have satisfactory evidence that James Gee Ken Yee is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated July 12, 2004
Signature of Notary Public [Signature]
Printed Name ANDRE BEAULIEU

My appointment does not expire.

480117.412 476554

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